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For and on behalf of RWS Group Ltd

The 16th day of July 2008

FEDERAL REPUBLIC OF GERMANY



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The attached documents are a correct and accurate reproduction of the parts of the submission for this Patent Application filed on 24 September 2003, filed with the World Intellectual Property Organization with the priority document of 04 November 2004.

Munich, 23 June 2008

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LED with low color temperature

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Technical Field

The present application is closely related to the following applications:

2003P14657, 2003P14654, and 2003P14655.

The invention is based on an LED with a low color temperature. This is to be understood as meaning a color temperature in the range from 2300 to 3500 K.

Discussion of background

The range of warm-white color temperatures, i.e. below 3500 K, has hitherto been difficult to realize using commercially available LEDs. The standard phosphors produce a color temperature of more than 5000 K. Therefore, it has hitherto been attempted to produce warm-white color temperatures in a very complex way by combining a plurality of LEDs cf., for example, WO 02/52901 and WO 02/52902.

Simple LEDs, aimed at producing warm-white luminous colors have hitherto been based on UV chips. On account of the considerable energy difference between the UV region and the short-wave visible region (blue), and also on account of the UV-induced, which is higher on account of the higher energy, radiation-induced more rapid aging of the housing and of the phosphor potting, these LEDs fail to achieve either the service life or the efficiency of neutral-white LEDs based on blue-emitting chips as have hitherto been available.

One alternative is RGB-LEDs based on luminescence conversion LEDs comprising sulfide and thiogallate phosphors, cf., for example WO 01/24229. However, it has been found that the phosphor proposed in that document does not satisfy the

requirements with regard to long-term stability and efficiency when using high-power chips which reach a high operating temperature. The sulfides are chemically unstable with respect to moisture and the thiogallates proposed have a pronounced temperature quenching. Moreover, on contact with water, the known sulfide phosphors decompose to form toxic gases, such as hydrogen sulfide.

An alternative solution which can be demonstrated to have a long service life and a high efficiency combined with a very good color rendering index has not hitherto been disclosed. The use of a mixture of the known YAG:Ce and a red phosphor, such as for example Sr2Si5N8:Eu only leads to maximum Ra values of 85 to 90, cf. in this respect, WO 01/40403.

Phosphors of the oxynitridosilicate type are known per se under the shortened formula MSiON; cf. for example, "On new rare-earth doped M-Si-Al-O-N materials", J. van Krevel, TU Eindhoven 2000, ISBN 90-386-2711-4, Chapter 6. They are doped with Tb. Emission is achieved under excitation by 365 nm or 20 254 nm.

A new type of phosphor is known from the as yet unpublished EP patent application 02 021 117.8 (Docket 2002P15736). It consists of Eu- or Eu, Mn-coactivated oxynitridosilicate of

25 formula $MSi_2O_2N_2$ (M = Ca, Sr, Ba).

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Summary of the invention

It is an object of the present invention to provide an LED with a warm-white luminous color, corresponding to a low color temperature in accordance with the preamble of claim 1, with an Ra that is as high as possible, reaching at least Ra=85, in particular higher than Ra=90.

This object is achieved by the characterizing features of claim 1. Particularly advantageous configurations are to be found in the dependent claims.

Hitherto, there has been no satisfactory way of realizing this Ιt is now proposed to use a phosphor mixture comprising a special, highly efficient green-emitting Sr Sion phase and a red nitride phosphor that is known per se.

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white-emitting luminescence The LED designed as а is conversion LED, comprising a primary radiation source, which is a chip that emits in the blue spectral region, with in front of it a layer of two phosphors, both of which partially convert the radiation of the chip, wherein the first phosphor is from the class of the oxynitridosilicates having a cation ${\tt M}$ and the empirical formula $M_{(1-c)}Si_2O_2N_2:D_c$, where M comprises Sr as the main constituent and D is doped with divalent Europium, $M = Sr \text{ or } M = Sr_{(1-x-y)}Ba_yCa_x \text{ with } 0 \le x+y < 0.5 \text{ being used, the}$ oxynitridosilicate completely or predominantly comprising the high-temperature-stable modification HT, and in nitridosilicate of formula second phosphor is а (Ca,Sr)₂Si₅N₈:Eu, producing a color temperature of at 3500 K

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invention phosphor which represents uses oxynitridosilicate of formula $MSi_2O_2N_2$ (M = Ca, Sr, Ba) which is activated with divalent Eu, if appropriate with the further addition of Mn as co-activator, with the HT phase forming the majority or all of the phosphor, i.e. more than 50% of the phosphor. This HT modification is distinguished by the fact that it can be excited within a broad band, namely in a wide range from 200 to 480 nm, that it is extremely stable with respect to external influences, i.e. does not reveal measurable degradation at 150°C, and that it has an extremely stability under fluctuating locus color (little drift detectable between 20 and 100°C). This phosphor is often also referred to below as Sr Sion: Eu.

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This phosphor is primarily green-emitting, with a dominant wavelength in the range from 550 to 570 nm.

When producing the novel phosphor, it is particularly important to use a high temperature range, the synthesis range lying between 1300 and 1600 °C. Another determining factor is the reactivity of the starting components, which should be as high as possible.

In particular, this phosphor can be excited efficiently by an LED, in particular of the InGaN type.

- The phosphor $MSi_2O_2N_2$:Eu (M = Ca, Sr, Ba) which is known from EP patent application 02 021 117.8, in the case of the Srdominated embodiment with M = Sr or M = $Sr_{(1-x-y)}Ba_yCa_x$ with x + y < 0.5, referred to below as Sr Sion, is difficult to control. Although some tests give excellent results, there has hitherto been no guiding principle as to how to obtain reliable and reproducible results. An additional factor is a certain tendency of the efficiency to be reduced and the color locus to vary under high thermal loads.
- Surprisingly, it has now been found that the two phases fundamentally differ in terms of their suitability for use as a phosphor. Whereas the LT phase is of only limited use as an Eu-doped phosphor and only emits weak orange-red light, the HT phase has an excellent suitability for use as a phosphor which emits green light. There is normally a mixture of the two modifications which manifests both forms of emission over a broad band. It is therefore crucial for the HT phase to be produced in as pure a form as possible, in a proportion of at least 50%, preferably at least 70%, particularly preferably at least 85%.

This requires an annealing process which is carried out at at least 1300°C but no more than 1600°C. A temperature range from approximately 1450 to 1580°C is preferred, since LT phase is formed to an increasing extent at lower temperatures and the phosphor becomes increasingly difficult to process at higher

temperatures; above approximately 1600°C it forms a hardmelt. The optimum temperature sintered ceramic or depends on the precise composition and the properties of the starting materials.

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- the starting products which is substantially A batch of stoichiometric using the base components SiO2, SrCO3 and Si3N4 is particularly important for producing an efficient phosphor of the Sr Sion type. Sr acts as a representative example of M 10 in this context. The deviation should amount to no more than particular 10%, preferably 5왕, from the stoichiometric batch, including any addition of a melting auxiliary, as is often customary. A maximum deviation of 1% is particularly preferred. In addition, there is a precursor for the europium fraction of the doping, realized, for example, as This discovery runs contrary to the previous oxide Eu₂O₃. procedure of adding the base component SiO2 in a significantly proportion. This discovery is substoichiometric particularly surprising on account of the fact that other Sions which are recommended for use as phosphors, such as Ba Sion in accordance with the teaching of EP patent application should produced 02 021 117.8, indeed be with substoichiometric quantity of SiO2.
- Therefore, a corresponding batch for the Sr Sion MSi₂O₂N₂ uses 25 11 to 13% by weight of SiO_2 , 27 to 29% by weight of Si_3N_4 , remainder SrCO3. Ba and Ca fractions in M are correspondingly added as carbonates. Europium is added, in accordance with the desired doping, for example as an oxide or fluoride, replacement for $SrCO_3$. The batch $MSi_2O_2N_2$ is also 30 understood as encompassing any deviations from the stoichiometry, provided that they are compensated for with a view to charge retention.
- proven particularly expedient for the starting 35 components of the host lattice, in particular Si₃N₄, to have

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the highest possible purity. Therefore, $\mathrm{Si}_3\mathrm{N}_4$ which is synthesized from the liquid phase, for example starting from silicon tetrachloride, is particularly preferred. In particular the contamination with tungsten, cobalt, aluminum and calcium has proven critical. The impurity levels of each of these constituents should in each case be less than 100 ppm, in particular less than 50 ppm. Furthermore, the highest possible reactivity is advantageous; this parameter can be quantified by the reactive surface area (BET), which should be at least 6 m²/g, advantageously at least 8 m²/g.

In the event of a deviation from the above procedure with regard to stoichiometry and temperature, increasing levels of undesirable foreign phases, namely nitridosilicates MxSiyNz, such as for example M2Si5N8, are formed if the addition of SiO₂ is set at too low a level, so that an excess of nitrogen is produced. Although this compound per se is a useful phosphor, with regard to the synthesis of the Sr Sion, it is extremely disruptive just like other nitridosilicates, since foreign phases absorb the green radiation of the Sr Sion and may convert it into the known red radiation provided by the nitridosilicates. Conversely, if too much SiO2 is added, silicates, such as for example Sr₂SiO₄, are formed, since an excess of oxygen is produced. Both foreign phases absorb the useful green emission or at least lead to lattice defects such as vacancies, which have a considerable adverse effect on the efficiency of the phosphor. The starting point used is the basic principle that the level of the foreign phases should be below 15%, preferably even below 5%. In the XRD spectrum of the synthesized phosphor, this corresponds to the requirement that with the XRD diffraction angle 2 Θ in the range from 25 to 32°, the intensity of all the foreign phase peaks should be than 1/3, preferably less than 1/4, particularly less preferably less than 1/5, of the intensity of the main peak characterizing the HT modification at approximately 31.8°.

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This applies in particular to the foreign phases of type SrxSiyNz, in particular Sr2Si5N8.

With an optimized procedure, it is reliably possible to achieve a quantum efficiency of from 80 to well over 90%. By contrast, if the procedure is not specific, the efficiency will typically lie in the range from at most 50 to 60% quantum efficiency.

According to the invention, it is therefore possible 10 produce a phosphor which represents an oxynitridosilicate of formula $MSi_2O_2N_2$ (M = Ca, Sr, Ba) which is activated with divalent Eu, if appropriate with the further addition of Mn as co-activator, with the HT phase forming the majority or all of the phosphor, i.e. more than 50% of the phosphor. This 15 modification is distinguished by the fact that it can excited within a broad band, namely in a wide range from 250 to 480 nm, that it is extremely stable with respect external influences, i.e. does not reveal any measurable degradation at 150°C in air, and that it has an extremely good 20 color locus stability under fluctuating conditions. Further plus points include its low absorption in the red, which is particularly advantageous in the case of phosphor mixtures. This phosphor is often also referred to below as Sr Sion: Eu. A majority of the HT modification can be recognized, inter alia, 25 characterizing peak of fact that the modification in the XRD spectrum at approximately 28.2° has an intensity of less than 1:1, preferably less than 1:2, compared to the peak with the highest intensity from the group of three reflections of the HT modification which lie in the XRD 30 spectrum at 25 to 27°. The XRD spectra cited here in each case relate to excitation by the known $Cu-K_{\alpha}$ line.

With the same activator concentration, this phosphor reveals different emission characteristics than the LT variant of the same stoichiometry. The full width at half maximum of the HT

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variant is significantly lower in the case of the optimized HT variant than in the case of the mixture containing foreign phases and defects or a high level of foreign phases, and is in the range from 70 to 80 nm, whereas the specimen containing foreign phases and defects is approximately 110 to 120 nm. The dominant wavelength is generally shorter, typically 10 to 20 nm, in the case of the HT modification than in the case of the variant containing significant levels of foreign phases. An additional factor is that the efficiency of high-purity HT modification is typically at least 20% higher, and in some cases significantly higher still, than in the case of the LT-dominated mixture or the mixture with a high level of foreign phases.

One characterizing feature of a sufficiently low level of the NT modification and foreign phases is a full width at half maximum (FWHM) of the emission of less than 90 nm, since the lower the level of foreign phases, the lower the proportion of the specific orange-red emission from the modification which is rich in foreign phases, in particular the nitridosilicate foreign phases Sr-Si-N-Eu in particular Sr2Si5N8:Eu.

The abovementioned typical reflections in the XRD spectrum, which reveal the different crystal structure, are another important factor, in addition to the reduced full width at half maximum.

The dominant peak in the XRD spectrum of the HT modification is the peak at approximately 31.7° . Other prominent peaks are the three peaks of approximately the same intensity between 25 and 27° (25.3 and 26.0 and 26.3°), with the peak with the lowest diffraction being the most intensive. A further intensive peak is 12.6° .

This phosphor emits predominantly green light with a dominant wavelength in the range from 555 to 565 nm.

It is also possible to add a small amount of AlO instead of SiN (in an amount of up to at most 30% of the SiN content).

Both phases of the Sr Sion: Eu can crystallize analogously to the two structurally different host lattice modifications and the SrSi2O2N2:Eu produced using be stoichiometry. Minor deviations from this stoichiometry are surprisingly Eu-doped host lattices The possible. luminesce when excited in the blue or UV region, but in each case after host lattice modification with a different emission color. The LT modification reveals an orange emission, the HT modification a green emission at approximately $\lambda_{\text{dom}} = 560 \text{ nm}$ with in principle a significantly higher efficiency. A desired property of the phosphor can be set accurately as a function of the dopant content and dopant material (Eu or Eu, Mn) and the relative proportions of the HT and LT modifications.

One benefit of the HT phase is the fact that it can be excited with a good level of uniformity over a very wide spectral region with only minor variations in the quantum efficiency.

Moreover, within a wide temperature range the luminescence of the HT modification is only weakly dependent on the temperature. Therefore, the invention has for the first time discovered a green-emitting phosphor, for LED applications, which makes do without special measures to stabilize it. This distinguishes it in particular from the previously as the most promising candidate for this purpose, namely thiogallate phosphors or chlorosilicates.

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The mixed compounds with M = (Sr, Ba), preferably with up to 10% of Ba, likewise represent efficient phosphors with a wide range of emission maxima. These maxima are generally at a shorter wavelength than pure Sr Sion, preferably between 520 and 565 nm. Moreover, the color space which can be achieved can be widened by adding small amounts (preferably up to

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30 mol%) of Ca and/or zinc; this shifts the emission maxima toward the longer-wave region compared to pure Sr Sion, and by partially (up to 25 mol%) replacing Si with Ge and/or Sn.

A further embodiment is for M, in particular Sr, to be partially substituted by trivalent or monovalent ions, such as La3+ or Li+. It is preferable for these ions to form at most 20 mol% of the M.

Surprisingly, the Sr Sion of the HT phase has now provided a phosphor which can be set exactly to a green peak emission, for example of wavelength 560 nm (dominant wavelength). The phosphor converts the light from a blue or UV LED with a quantum efficiency of well over 80%. The lumen-based efficiency is comparable to that of typical white LEDs based on YAG:Ce.

A further advantage is that the emission color of the luminescence conversion LED is virtually independent of the operating temperature, which means that the LED can be operated successfully at different outside temperatures and can be dimmed with a stable color locus.

the nitride phosphor component is (Sr,Ca)2Si5N8:Eu mentioned in the introduction in a suitable two phosphors, the typical These efficiencies of which are well over 80% and both of which absorb very successfully in the region of short-wave blue radiation, in particular also at 450 to 465 nm, where the strongest chips are available, make it possible to provide efficient warm-white LEDs with a color rendering index Ra of up to 95. Depending on the desired optimization, a typical Ra value is from 85 to 95.

The particular advantage of this very combination is that the two phosphors have similar temperature properties with regard to the efficiency of luminescence, with the result that it is

advantageously possible to produce dimmable LEDs with a color locus that is as constant as possible.

The luminescence is much less temperature-dependent than in the case of the sulfide phosphors that have been proposed hitherto, and moreover both types of phosphors are significantly more chemically stable than their sulfide alternatives that have been disclosed hitherto (SrS:Eu and thiogallates). The two nitride-based phosphors and their possible decomposition products are substantially non-toxic, which also plays a role with regard to the environment.

Standard processes can be used for use in the LED. In particular, the following implementation options result.

Firstly, dispersing the phosphor in the LED potting, for example a silicone or epoxy resin, followed by application by, for example, potting, printing, spraying or the like. Secondly, introducing the phosphor into what is known as a molding compound, followed by transfer molding. Thirdly, near-chip conversion methods, i.e. applying the phosphors or the mixture thereof to the wafer processing level after dicing of the chips and after mounting in the LED housing. In this context, reference is made in particular to DE 101 53 615 and WO 01/50540.

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The invention also relates to an illumination system having LEDs as described above, the illumination system also including electronic components which, for example, impart dimmability. A further role of the electronics is to drive individual LEDs or groups of LEDs. These functions can be realized by known electronic elements.

Figures

The invention is to be explained in more detail in the text which follows on the basis of two exemplary embodiments. In the drawing:

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- Figure 1 shows an emission spectrum for an oxynitridosilicate;
- Figure 2 shows the reflection spectrum for this oxynitridosilicate;
- Figure 3 shows a semiconductor component which serves as light source for warm-white light;
- Figure 4 shows the position of the color locus for various batches of a warm-white LED;
- Figure 5 shows an emission spectrum of the light source from figure 3;
- 10 Figure 6 shows an illumination system based on warm-white LEDs.

Description of the drawings

Figure 1 shows a specific example for the phosphor according to the invention. This example relates to the emission of the phosphor $SrSi_2N_2O_2$: (5% Eu^{2+}) in the HT modification, in which the Eu fraction forms 5 mol% of the lattice sites occupied by Sr. The emission maximum is at 540 nm, the mean wavelength λ dom at 558 nm. The color locus is x=0.357; y=0.605. The excitation took place at 460 nm, and FWHM is 76 nm. The quantum efficiency is approximately 90%. The color locus is x=0.357, y=0.605.

Figure 2 shows the diffuse reflection spectrum for this phosphor. It reveals a pronounced minimum in the range below 440 nm, which therefore demonstrates the good excitability in this range.

Figure 3 specifically illustrates the structure of a light source for white light. The light source is a semiconductor component having a chip 1 of the InGaN type with a peak emission wavelength of from 440 to 470 nm, for example 460 nm, which is embedded in an opaque basic housing 8 in the region of a recess 9. The chip 1 is connected to a first terminal 3 via a bonding wire 14 and to a second electrical terminal 2 directly. The recess 9 is filled with a potting compound 5,

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which as its main constituents contains an epoxy casting resin (80 to 90% by weight) and phosphor pigments 6 from a mixture of two phosphors (less than 20% by weight). A first phosphor is the oxynitridosilicate containing 5% of Eu proposed as the first exemplary embodiment, and the second phosphor is a redin particular in this case emitting phosphor, $(Sr, Ca)_2Si_5N_8$: Eu(10%). The recess 9 has a wall 17 which serves as reflector for the primary and secondary radiation from the chip 1 and the pigments 6, respectively. The combination of the blue primary radiation and green and red radiation mixes to form warm white with a high Ra of 91 and a color temperature of 2850 K. The desired color locus is in the range from x = 0.44 to 0.48 and y = 0.40 to 0.44, cf. Figure 4. The radio of Sr to Ca in the nitridosilicate is in this case 9:1.

The nitridosilicate MaSivNz:Eu generally contains permanent component and Ca as an admixture in a proportion of 60 mol%. Put another way, the preferred nitridosilicate is characterized by the formula $(Sr_{1-x}Ca_x)_2Si_vN_z$ with x = 0 to 0.6, in which y = 5 and z = 8 are preferably selected. In general, the efficiency and the color rendering index Ra are adjusted by the extent of doping with Eu; it is preferable for Eu to be from 5 to 10 mol% of the M. addition of Ca in particular makes it possible to avoid having to add excessively high levels of the dopant Eu. It has been found that to achieve high color rendering indices, it is recommended to add Ca and to limit the Eu content. Therefore, for Ra > 90, x can be selected up to at most 0.2 (preferably x up to 0.1), and at the same time Eu can preferably be added in the range from 3 to 15 mol% of M (preferably 5 to 10 mol%). Good results with a high Ra can also be achieved for 0.2 < x <0.55 if Eu is simultaneously set to 1 to 5 mol% of M.

35 Figure 5 shows the associated emission spectrum. It shows the intensity in arbitrary units as a function of the wavelength

(in nm). The peaks of the primary radiation of 460 nm, of the oxynitridosilicate at approximately 560 nm and of the nitridosilicate at approximately 640 nm are clearly apparent.

5 Figure 6 shows an illumination system 5 in which, in addition to warm-white emitting LEDs 6, control electronics 7 are also accommodated in a housing 8. A cover is denoted by 9.

Claims

- An LED with a low color temperature, designed as a whiteemitting luminescence conversion LED, comprising a primary radiation source, which is a chip that emits in the blue 5 spectral region, with in front of it a layer of two phosphors, both of which partially convert the radiation of the chip, characterized in that the first phosphor is from the class of the oxynitridosilicates having a cation M and the empirical formula $M_{(1-c)}Si_2O_2N_2:D_c$, where M comprises Sr 10 as the main constituent and D is doped with divalent Europium, $M = Sr \text{ or } M = Sr_{(1-x-y)}Ba_yCa_x \text{ with } 0 \le x+y < 0.5$ the oxynitridosilicate completely used. being high-temperature-stable predominantly comprising the 15 modification HT, and in that the second phosphor is a nitridosilicate of formula (Ca,Sr)₂Si₅N₈:Eu, producing a color temperature of at most 3500 K.
- 2. The LED as claimed in claim 1, characterized in that in the oxynitridosilicate the Eu fraction makes up between 0.1 and 20 mol% of M.
- 3. The LED as claimed in claim 2, characterized in that a proportion of M, in particular up to 30 mol%, is replaced by Ba and/or Ca and/or Zn.
 - 4. The LED as claimed in claim 2, characterized in that a proportion of M, in particular up to 30 mol%, is replaced by Li and/or La.
 - 5. The LED as claimed in claim 2, characterized in that a proportion of SiN, in particular up to 30 mol%, is replaced by AlO.

- 6. The LED as claimed in claim 2, characterized in that a proportion of Eu, in particular up to 30 mol%, is replaced by Mn.
- 5 7. The LED as claimed in claim 2, characterized in that the following lines appear in its XRD spectrum:
- 8. The LED as claimed in claim 2, characterized in that the following relationships exist between lines in its XRD spectrum:
 - 9. The LED as claimed in claim 2, characterized in that the chip is an InGaN chip.
- 15 10. The LED as claimed in claim 1, characterized in that the LED is dimmable.
- 11. The LED as claimed in claim 1, characterized in that the LED has a color temperature of at least 2300 K, in particular 2700 to 3300 K.
 - 12. The LED as claimed in claim 1, characterized in that the LED achieves the white luminous color by color mixing with the RGB principle, with the primary emission of the blue LED having a peak wavelength of from 430 to 470 nm.
 - 13. The LED as claimed in claim 10, characterized in that the emission wavelength from the chip has a dominant peak wavelength in the range from 450 to 465 nm.
 - 14. The LED as claimed in claim 1, characterized in that the emission wavelength of the oxynitridosilicate has a dominant peak wavelength in the range from 550 to 570 nm.

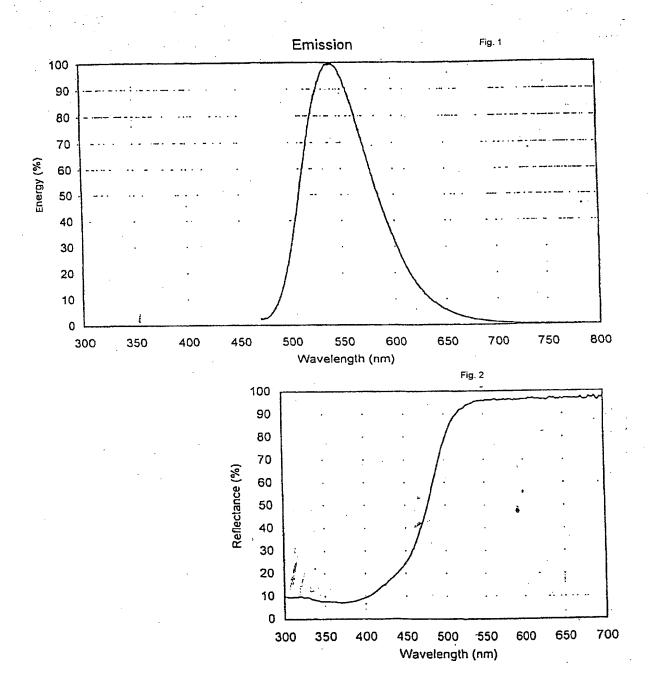
- 15. The LED as claimed in claim 1, characterized in that the nitridosilicate contains Sr as a permanent component, and Ca in a proportion of from 0 to 60 mol%.
- 5 16. The LED as claimed in claim 1, characterized in that the emission wavelength of the nitridosilicate has a dominant peak wavelength in the range from 620 to 660 nm.
- 17. The LED as claimed in claim 1, characterized in that an Ra
 10 of at least 85 is achieved.
 - 18. An illumination system having the LED as claimed in claim 1, characterized in that the system includes electronics for driving the individual LEDs or groups of LEDs.
 - 19. The illumination system as claimed in claim 18, in which the electronic control includes means which impart dimmability.

Abstract

LED with low color temperature

LED with a low color temperature up to 3500 K, comprising a blue-emitting LED with two phosphors in front of it, a first phosphor from the class of the oxynitridosilicates, having a cation M, which is doped with divalent Europium, and has the empirical formula $M_{(1-c)}Si_2O_2N_2:D_c$, with M=Sr, or $M=Sr_{(1-x-y)}Ba_yCa_x$ with x+y<0.5 being used, the oxynitridosilicate completely or predominantly comprising the high-temperature-stable modification HT, and a second phosphor from the class of the nitridosilicates of formula $(Ca,Sr)_2Si_5N_8:Eu$.

Fig. 1



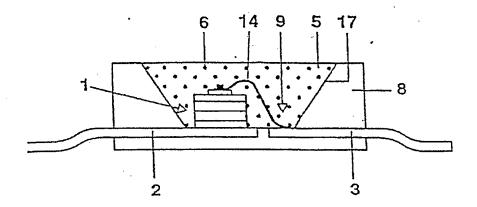


Fig. 3

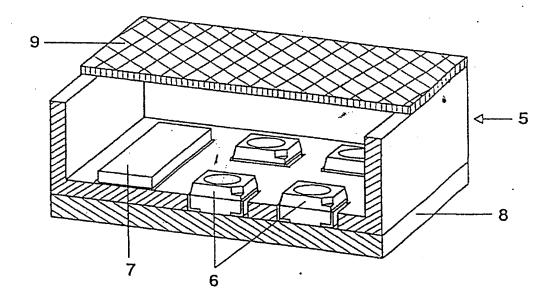


Fig. 6

